

The listed fees do not include commissions, markups, commission equivalents or advisory fees. Some of these fees may not apply to all account types and may be waived under certain conditions. Fees we charge for trading a security, called ticket charges, vary based on the type of product and size of purchase/sale, among other factors. Ticket charges in your account are negotiated and agreed upon between Ceros and your financial professional's registered investment adviser. Since these fees are negotiated, they vary and it is possible for you to pay higher or lower fees depending on which financial professional opens your account with Ceros.

Account or Service	Fee Amount	Frequency
Account Maintenance		
Annual Custodial Fee <i>Charge on accounts that do not meet minimum activity criteria</i>	\$30	Annual
Full Transfer Delivery	\$30	At Occurrence
Trade Extension		Per Occurrence
Mailgram	\$10	Per Occurrence
Interest Charged on Margin Debit Balances		Monthly
Retirement Account Fees		
Premiere Select IRA Maintenance Fee	\$35 - \$50	Annual
Premiere Select Retirement Plan Maintenance Fee	\$35-\$50	Annual
Premiere Select Retirement Account Termination Fee		At Account Closure
Cash Management Services		
Select Access	\$5 - \$20 (specialty checks are an additional charge)	Annual
Premier Access	\$100	Annual
Checkbook Reorder	\$5.00	Per Reorder
Select Access/Premier Access Stop Payment	\$25	Per Occurrence
Brokerage Access/Brokerage Portfolio Return Check	\$25	Per Occurrence
Federal Funds Wire	\$15	Per Occurrence
Overnight Check	\$20	Per Occurrence
Incoming Returned Check	\$20	Per Occurrence
Outgoing Check Stop Payment	\$30	Per Occurrence
Alternative Investments		
Annual Custody and Valuation Fee: • Registered Position • Unregistered Position	\$75 - \$225	Per Position <i>Max Annual Fee of \$500</i>
Transfer/Re-registration Fee	\$50	Per Position Each Occurrence
Mutual Fund Periodic Transaction		
Periodic Mutual Fund Purchase/Liquidation	\$7	Per Position Each Occurrence (plus cost)
Physical Certificate		
Legal Stock Transfer <i>Re-titling a deposited certificate to match the account's registration</i>	\$30	Per Position Each Deposit
Legal Stock Return <i>Return of a deposit certificate that is not in good order</i>	\$30	Per Position Each Deposit
Transfer and Ship: • DRS Statement • Certificate	\$20 \$235	Per Position Each Delivery
Safekeeping <i>Storage of physical certificates that cannot be registered in street name</i>	\$10	Per Certificate Each Month
Physical Reorganization <i>Updating a deposited certificate after a mandatory corporate reorganization event</i>	\$50	Per Position Each Deposit
Mutual Fund Ticket Charges		
Non-Transaction Fee	\$0.00	Per Trade
Transaction Fee	\$12-21.95	Per Trade
Fixed Income Ticket Charges		
Listed/Muni/Corp/	\$21.95-49.95	
Options Contract Ticket Charges		
\$14.95 + 1.50/contract- \$21.95 + 2.00/contract		
Stock Ticket Charges		
Base \$11.95-21.95 + .02/share		Per Trade
Foreign Security Surcharge		
\$ 75.00		Per Trade